

***GREYFRIARS  
PREFERRED RETIREMENT  
ACCOUNT***

***TRANSFER IN APPLICATION FORM***

*Greyfriars Asset Management LLP,  
“The Cedars”,  
11 High Street,  
Fleckney,  
Leicestershire, LE8 8AJ.*

*Greyfriars Asset Management LLP is authorised and regulated by the Financial Services  
Authority.*

## **A. SCHEME REGULATORY STATUS**

The Greyfriars Preferred Retirement Account (GPRA) is a Self Invested Personal Pension (SIPP).

It is a registered pension scheme, reference number 00605703RE

The Operator of the scheme is Greyfriars Asset Management LLP (GAM) of The Cedars, 11 High Street, Fleckney, Leicester, LE8 8AJ. As Operator of the scheme GAM will assume the legal roles of Trustee of the Scheme as a whole and Scheme Administrator for tax purposes.

Please address all correspondence to GAM.

Telephone No.           0116 2404402.

Fax No.                   0116 2404406.

e-mail                    [ifa@greyfriars.co.uk](mailto:ifa@greyfriars.co.uk)

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## B. SECTION B TO BE COMPLETED BY TRANSFERRING MEMBER

Full Name of Member	
Date of Birth	
GPRA Account No.	
Transferring Scheme Name	
Member's Reference or Policy Number under Transferring Scheme	
Type of policy	
Name and address of Insurance Company/Administrator of Transferring Scheme	<b>Post Code</b>

Is the transfer to be made in the form of a cash amount or the transfer of existing assets (in-specie) from the transferring scheme?

cash only  In-specie

Non-protected rights transfer value

Protected rights transfer value

Total transfer value

If "in-specie" please enclose a list of the assets to be transferred and current valuations, and if appropriate, the name and address of the investment managers.

Name and Address;	<b>Pose Code</b>
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### FEES

Greyfriars' fees are as stated on your original application form. We will deduct no additional fees to process this application.

#### Advisor Remuneration

Any previously agreed fees being paid to your financial advisor for the ongoing management of your SIPP will continue.

If you have also agreed a one-off fee out of this contribution please indicate below. Could you also please confirm your advisor's firm's full name.

I authorise you to deduct .....% /or a monetary amount of £..... from this transfer as a fee to my financial advisor. (If appropriate, this may be subject to VAT).

My financial advisor is  
(firm's name)

**REQUEST FOR TRANSFER**

I request the scheme administrator of the transferring scheme to transfer the value of my benefits under the transferring scheme to my Greyfriars Preferred Retirement Account (GPRA).

- 1. A cash payment made by cheque (or such other method as agreed with GAM). Please make the cheque payable to “The GPRA re .....” **OR**
- 2. A direct transfer of assets held on my behalf under the transferring scheme (after deduction of any outstanding liabilities or charges) to my GPRA. Where appropriate GAM will advise details of the nominee to whom assets must be transferred.

It is understood that the existing investment manager or administrator of the transferring scheme will be responsible for the collection of all outstanding dividends and tax reclaims, together with the realisation of all such rights and entitlements in respect of the assets transferred and for passing them on to GAM.

I authorise the scheme administrator, provider or insurer of the transferring scheme to provide GAM with any information they may request regarding my benefits under the transferring scheme.

I hereby apply to GAM to accept the transfer from the transferring scheme and to pay it into my GPRA. I confirm that the information provided relevant to my application to transfer benefits into my GPRA is correct and complete, to the best of my knowledge and belief.

**ADDITIONAL DECLARATION FOR TRANSFERS OF UNSECURED PENSION, OR ALTERNATIVELY SECURED PENSION (IF APPLICABLE)**

I declare that all, or a part, of the transfer payment has been designated to provide either unsecured or alternatively secured pension benefits for me under the transferring scheme. The transferring scheme will confirm the amount (if any) designated to provide these benefits. I understand that the amount of the transfer payment representing these benefits will be applied to provide me with unsecured pension or alternatively secured pension under my GPRA.

I further understand that the unsecured pension benefits under my GPRA will be subject to the same maximum income limits and review periods as under the transferring scheme, until such time as a further review of these limits is triggered.

**Signed:** (*member's name*)

**Date:**

## C. SECTION C – TO BE COMPLETED BY TRANSFERRING SCHEME

### INSTRUCTIONS TO THE TRANSFERRING SCHEME ADMINISTRATORS

Please complete Sections 1 and 4 (General information and the Scheme Administrator's Declaration) in all cases. Also complete Section 2 (unsecured or Alternatively Secured Pension) and/or Section 3 (Transitional Protection), if applicable.

#### 1. GENERAL INFORMATION

- a. Is the transferring scheme a registered pension scheme under Finance Act 2004? Yes  No

If no, we will not be able to accept the transfer value.

If yes, please provide:

- i. H.M. Revenue & Customs (HMRC) Pension Scheme Tax Reference:

- ii. Type of scheme (please tick the one that best describes the transferring scheme):

Self Invested Personal Pension	<input type="checkbox"/>
Small Self Administered Scheme	<input type="checkbox"/>
Defined benefit occupational scheme	<input type="checkbox"/>
Money purchase occupational scheme	<input type="checkbox"/>
Personal/stakeholder pension	<input type="checkbox"/>
Section 32 contract	<input type="checkbox"/>
Other (please state)	<input type="checkbox"/>

- b. Contact name and telephone number for enquiries:

- c. Total Transfer Value (non-protected rights)  £

Total Transfer Value (protected rights)  £

*Non-protected rights transfer made up of the following amounts:*

- i. unvested/uncrystallised pension rights  £

- ii. Unsecured pension rights, which vested/crystallised before 6<sup>th</sup> April 2006. (Pre A-Day USP)  £

iii. unsecured pension rights, which vested/crystallised after 5<sup>th</sup> April 2006. (Post A-Day USP)

iv. alternatively secured pension rights (ASP)

*Protected rights transfer made up of the following amounts:*

i. unvested/uncrystallised pension rights

ii. Unsecured pension rights, which vested/crystallised before 6<sup>th</sup> April 2006. (Pre A-Day USP)

iii. unsecured pension rights, which vested/crystallised after 5<sup>th</sup> April 2006. (Post A-Day USP)

iv. alternatively secured pension rights (ASP)

If the transfer value includes rights under ii., iii., or iv please also complete Section 2.

d. Is the transfer to be made 'in-specie' (a transfer of assets, which may include some cash)? Yes  No

If yes, please attach to this form, a list of the assets to be transferred and valuations

e. Does part of the transfer value relate to a pension credit derived from a Pension Sharing Order or Provision? Yes  No

If yes, please state the amount of the transfer value representing these rights:

Is the transferring member entitled to take a pension commencement lump sum in relation to these rights? Yes  No

f. Is there an Earmarking Order in force in relation to any benefits being transferred? Yes  No

If yes, please attach a copy of the Order.

2. UNSECURED OR ALTERNATIVELY SECURED PENSION.

Please provide the following information for any unsecured pension (USP) or alternatively secured pension (ASP) benefits included in the transfer. If the transfer includes amounts in respect of a number of different tranches of unsecured pension, with different limits and review periods, please provide the following information for each tranche separately (if there is not enough space, please provide the information on a separate sheet and attach it to this form).

a. Is the USP or ASP being paid by the transferring scheme to:

- |     |   |     |                          |    |                          |
|-----|---|-----|--------------------------|----|--------------------------|
| i.  | A member of the scheme? or                      | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| ii. | A dependant of a deceased member of the scheme? | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |

	PRE A-DAY USP	POST A-DAY USP	ASP	
b.	The commencement date for the current reference/review period; <b>NOTE</b> Please leave blank if no reference period has commenced.	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>	<input type="text" value="/ /"/>
c.	The current maximum income limit:	<input type="text" value="£ p.a."/>	<input type="text" value="£ p.a."/>	<input type="text" value="£ p.a."/>
d.	The amount of income that will have been paid for the current USP or ASP pension year up to the date of transfer.	<input type="text" value="£"/>	<input type="text" value="£"/>	<input type="text" value="£"/>
e.	For Post A-Day USP <b>only</b> the total of the amounts vested/crystallised to:			
i.	provide USP and	<input type="text" value="£"/>		
ii.	any linked pension commencement lump sums:	<input type="text" value="£"/>		
f.	The percentage of the standard lifetime allowance used up by benefit crystallisation events under the transferring scheme.*	<input type="text" value="%"/>	<input type="text" value="%"/>	

\* Please show the percentage of the standard lifetime allowance shown on the member's latest annual statement in relation to Post A-Day USP or ASP, plus any percentage in respect of further benefit crystallisation events (i.e. additional fund designations) since the date of the statement. If no annual statement has yet been issued to the member, please show the percentage of the lifetime allowance used up as notified to the member following the setting up of the unsecured pension fund.

These figures are required to enable us to calculate the benefit crystallisation amount for lifetime allowance purposes when the member reaches age 75 (BCE5A) and to enable us to continue to report to the member the amount of lifetime allowance used up in respect of these benefit crystallisation events under the transferring scheme.

### 3. TRANSITIONAL PROTECTION

- a. Is the transferring member entitled to a protected minimum pension age (below age 50) under the transferring scheme? Yes  No

If Yes, please confirm the earliest age at which benefits can commence.

- b. Is the transferring member entitled to a protected pension commencement lump sum of more than 25% of the amount vested/crystallised? Yes  No

If yes, please confirm:

- i. the amount of the protected pension commencement lump sum at 5<sup>th</sup> April 2006:  £

- ii. the value of the member's pension benefits in that scheme at 5<sup>th</sup> April 2006:  £

- and  
iii. has there been any accrual since 5<sup>th</sup> April 2006? Yes  No

- c. Are all of the transferring member's pension rights under the transferring scheme being transferred at the same time? Yes  No

If no, transitional protection will not apply.

### 4. SCHEME ADMINISTRATOR'S DECLARATION

We declare that the information set out in Section C of this Transfer Form is correct, complete and not misleading, to the best of our knowledge and belief.

We confirm that the payment of this transfer value to you will be a "recognised transfer" for the purposes of Finance Act 2004.

Signed:

Name:

For and on behalf of the scheme administrator of the transferring scheme.

Date:

Please now return this form, together with a cheque made payable to "The GPRA  
Re:..... (if appropriate) to GAM at the address above.

