

Application Form and Mandate for a Self Invested Personal Pension Plan Member Bank Account

Please complete this form in BLOCK CAPITALS and black ink and return it to: **Cater Allen Private Bank, 9 Nelson Street, Bradford, BD1 5AN** in the pre-paid envelope provided. If you need any help to complete this form please call us on **01274 369935**.

For action by the Scheme provider ONLY			
Sterling £ Scheme Master Number	<input type="text"/>	<input type="text"/>	<input type="text"/>
US Dollar \$ Scheme Master Number	<input type="text"/>	<input type="text"/>	<input type="text"/>
Euro € Scheme Master Number	<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Number Allocated	<input type="text"/>	<input type="text"/>	<input type="text"/>

Any information section not completed will be interpreted as though there is no information to input.

PART 1	SCHEME DETAILS
Name of Corporate Trustee	<input type="text"/>
Name of Scheme	<input type="text"/>
Name of Member	<input type="text"/>

PART 2	NEW ACCOUNT DETAILS		
Please tick which ONE Account you wish to apply for, indicate the amount to be deposited and whether a Cheque and/or Paying-in book is required.			
<input type="checkbox"/> SIPP Bank Account	<input type="text" value="£"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Reserve Account for Pensions US Dollar	<input type="text" value="\$"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Reserve Account for Pensions Euro <small>(Chequebooks are not available on Currency Accounts.)</small>	<input type="text" value="€"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cheques should be made payable to the Name that you wish your new Account to be in. No cash, postal orders or third party cheques accepted.			
All Deposits from (Please tick the appropriate box):			
<input type="checkbox"/> a Registered Pension Scheme <input type="checkbox"/> Pension Contributions <input type="checkbox"/> Transfer(s) from other Registered Pension Scheme <input type="checkbox"/> Other			
If Other please specify the source of the funds		<input type="text"/>	
Where pension contributions are to be made please indicate from the list below, from which sources your contributions have been funded:			
<input type="checkbox"/> Income from employment	<input type="checkbox"/> Income from savings/investments		
<input type="checkbox"/> Gift	<input type="checkbox"/> Lottery and other winnings		
<input type="checkbox"/> Property Sale	<input type="checkbox"/> Inheritance		
<input type="checkbox"/> Divorce Settlement	<input type="checkbox"/> Other (please specify) <input type="text"/>		
Anticipated Contributions p.a.	<input type="text" value="£"/>		
Name to be shown on new Account	<input type="text"/>		
<small>(To appear on Cheque and/or Paying-in book where applicable – 36 characters per line)</small>	<input type="text"/>		

Explanatory Note: If this application is made in joint names "I" in the statement below should be read as "we" where appropriate.

This statement relates to the information I have given in this application and to any other information which I provide to you (Cater Allen Private Bank) or which you hold on me. I confirm that I am entitled to disclose information about any parties named on the application form.

Whether or not I become a customer, all the information I give to you Cater Allen Private Bank or you hold on me including transactional data, may be shared with and used by the group of companies to which Cater Allen Private Bank belongs (the Santander group), your associated companies, service providers or agents who may be located in other countries. I understand that you will ensure that my information is only used in accordance with your instructions and your own strict internal confidentiality policies. If you transfer my information to another country, you will also ensure that it is given the same levels of protection as required under the UK Data Protection Act.

I agree that my information may be used in this way for administration purposes and to:

- Provide and run the account or service I have applied for and develop and improve your products and services.
- Invite me to take part in market research surveys. **If I would prefer not to be included in market research I can tick this box.**

I have been Introduced to Cater Allen Private Bank via an Intermediary

I understand that Cater Allen Private Bank will not use my information for marketing purposes. However, I understand that I may receive details of products and services from other Abbey group companies if I have agreed to receive marketing from them directly.

For all Cater Allen Private Bank Customers

Before you can open my Account you will check my details with Fraud Prevention Agencies, and may make searches at Credit Reference Agencies who will supply you with information including information from the Electoral Register, for the purposes of verifying my identity. Scoring methods may be used to verify my identity. **A record of this process will be kept that may be used to help other companies to verify my identity.** If I give you false or inaccurate information and fraud is identified, details will be sent to Fraud Prevention Agencies. Law enforcement agencies may access and use this information.

You and other organisations may search and use the records held by Credit Reference and Fraud Prevention Agencies about me and my Financial Associates or Partner/Spouse and others in my household, to prevent crime, fraud and money laundering and for example:

- to check details provided on applications for credit and credit related or other facilities
- to verify my identity if I or my Financial Associate applies for other facilities
- to help make decisions about credit and credit related services, insurance proposals and claims, and all types of facilities for me, my Financial Associate or Partner/Spouse and other members of my household
- to check the operation of credit and credit related Accounts and to manage Accounts and facilities, including tracing debtors and recovering debt
- to help make decisions about job applicants and employees
- to undertake statistical analysis and system testing.

You and other organisations may search and use from other countries the information recorded at Fraud Prevention Agencies. Further information on the Credit Reference Agencies and Fraud Prevention Agencies you use is available by telephoning your Agents on 0800 092 3300.

You may also give essential information about my Account and cards (if any) to others if necessary to run my Account and for regulatory purposes.

Information about me will be kept after my account is closed. I understand I have the right to see certain records you hold about me on payment of a fee* and that an information sheet (Subject Access Info sheet) explaining my rights is available by calling 0800 092 3300.

*Please see Banking Tariff for details.

Investment and Reserve Account Applications

I understand that when you assess this application, and any future increase in my credit and/or overdraft limit (this does not apply to those under 18), you will use the information for credit assessment, which may include credit scoring. You may make any enquiries relating to me and my business that you consider necessary (e.g. from another financial institution) and search the files of Credit Reference Agencies at my business and home address, which will keep a record of each search. This could impact on my ability to obtain credit elsewhere within a short period of time. Details about this application (whether or not it proceeds) may be recorded at the Credit Reference Agencies. An association between joint applicants or between myself and any other person will be created at the Credit Reference Agency. This will link our financial records, each of which will be taken into account in all future applications by either or both of us. If an association already exists then my applications will be assessed with reference to these associated records. This situation will continue until one of us successfully files a disassociation at the Credit Reference Agency.

Details about me, my business and the conduct of this Account may also be passed to Credit Reference Agencies. When appropriate, the Credit Reference and/or Fraud Prevention Agencies will also record details of my agreement with you, the payments I make under it and any default or failure to keep to its terms and any deliberate non-payment following a change of address without notice.

The Credit Reference Agencies and/or Fraud Prevention Agencies may share my information with other organisations when credit decisions are being made, for the purposes described in the section "For all Cater Allen Private Bank customers" above.

The Bank requires the Member and the Corporate Trustee to sign this Application to authorise the opening of this Account, in accordance with the Application Form and Mandate to support SIPP Plan Bank Accounts, and to authorise the Authorised Signatories thereunder to sign on its behalf. If the Member is to be an Authorised Signatory on this particular SIPP Plan Bank Account then please indicate this below.

By signing this Application Form we agree that:

- We have read and understand the Data Protection Statement, and agree that you can use our information as stated in the Statement.
- We have received and accept the Terms and Conditions of this Account and agree to also be bound by any subsequent amendments advised to us by the Bank from time to time.
- The information contained in this Application is true and correct.
- Cater Allen Private Bank is duly authorised to operate the Account(s).
- The Member's Personal Details, as completed in Part 4 are true and correct.

This Member is joining the Sterling/US Dollar/Euro* Scheme, Master Number

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* please delete as appropriate

Please see the Application Form and Mandate to support SIPP Plan Bank Member Accounts linked to the above Scheme Number for the Authorised Signatories of the Corporate Trustee to this account.

Corporate Trustee

FULL NAME	CORPORATE TRUSTEE
SIGNATURE	DD / MM / YY
FULL NAME	CORPORATE TRUSTEE
SIGNATURE	DD / MM / YY

Member

IS THE MEMBER TO BE AN AUTHORISED SIGNATURE ON THIS PARTICULAR SIPP PLAN BANK ACCOUNT? YES/NO*

* please delete as appropriate

FULL NAME	
SIGNATURE	DD / MM / YY

Cater Allen Private Bank is able to provide literature in alternative formats. The formats available are: Large Print (as recommended by RNIB), Braille, Audio Tape and PC Disk. If you would like to register to receive correspondence in an alternative format please contact us on 0800 092 3300. For the hard of hearing and/or speech impaired please use the Ttypetalk service via 18001 0800 092 3300.

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